

Patent application	
ofInventor(	(s)
for	
Title of inve	ention
OR	
In re application of: ZEEV SCHMILOVITCH, et al.	
Serial No.: 10/811,534	Group No
Filed: March 29, 2004	Examiner:
For: SPECTROSCOPIC FLUID ANALYZER	
Commissioner for Patents	
P. O. Box 1450	
Alexandria, VA 22313-1450	
WITHIN THREE MONTE BEFORE MAILING OF FIRST OFFI  CERTIFICATION UNDER 37  (When using Express Mail, the Express Mail certification)  Express Mail certification on the data shows below this correspondence.	CE ACTION (37 C.F.R. 1.97(b))  C.F.R. 1.8(a) and 1.10*  Mail label number is mandatory; ion is optional.)
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Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.703(f). Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.6(d) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

NOTE: 37 C.F.R. 1.98(b): (1) Each U.S. patent listed in an information disclosure statement must be identified by inventor, patent number, and issue date. Each U.S. patent application published listed in an information disclosure statement (2) shall be identified by applicant, patent application publication number, and publication date (3) Each U.S. application listed in an information disclosure statement must be identified by the inventor, application number, and filing date. (4) Each foreign patent or published foreign patent application listed in an information disclosure statement must be identified by the country or patent office which issued the patent or published the application, an appropriate document number, and the publication date indicated on the patent or published application. (5) Each publication listed in an information disclosure statement must be identified by publisher, author (fi any), title, relevant pages of the publication,,date, and place of publication.

NOTE: The "filing date of a national application" under 37 C.F.R. 1.97(b) has two possible meanings. Where the filing is a direct one to the United States Patent & Trademark office, the filing is defined in 37 C.F.R. 1.53(b) as "the date on which: (1) A specification containing a description pursuant to § 1.71 and at least one claim pursuant to § 1.75; and (2) any drawing required by § 1.81(a), are filed in the Patent and Trademark Office in the name of the actual inventor or inventors as required by § 1.41." 37 C.F.R. 1.97(b)(1). On the other hand, an international application that enters the national stage occurs when the applicant has filed the documents and fees required by 35 U.S.C. § 371(c) within the periods set forth in § 1.494 or § 1.495. 35 U.S.C. § 371(c) requires the filing of the following: (1) the national fee; (2) a copy of the international application, unless already sent by the International Bureau, and an English translation if filed in another language; (3) amendments under PCT Article 19, with a translation into English if made in another language; (4) an oath or declaration; and (5) a translation into English of any annexes to the international preliminary examination report, if such annexes were

C.F.R. § 1.97(f).

made in another language. 37 C.F.R. 1.97(b)(2).

No extension of time can be had under 37 C.F.R. § 1.36 (a) or (b) for filing an IDS. 37

**WARNING:** 

# IDENTIFICATION OF TIME OF FILING THE ACCOMPANYING INFORMATION DISCLOSURE STATEMENT

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 C.F.R. 1.97(b).

- NOTE: "No certification or fee is due when the filing is made within the above time period. It is advisable to ensure that no Office action has been mailed if the disclosure statement is delayed until after three months from filing."
- NOTE: "An information disclosure statement will be considered to have been filed on the day it was received in the Office, or on an earlier date of a mailing if accompanied by a properly executed certificate of mailing under 37 C.F.R. 1.8, or Express Mail certificate under 37 C.F.R. 1.10. An office action is mailed on the date indicated in the Office action." Notice of April 20, 1992 (1138 O.G. 37-41, 39).
- NOTE: "The term 'national application' includes continuing applications (continuations, divisions, continuationsin-part) so three-months will be measured from the actual filing date of an application as opposed [sic] to the effective date of a continuing application." Notice of April 20, 1992 (1138 O.G. 37-41, 39).

NOTE: "An action on the merits means an action which treats the patentability of the claims in an application, as opposed to only formal or procedural requirements. An action on the merits would, for example, contain a rejection or indication of allowability of a claim or claims rather than just a restriction requirements (37 C.F.R. 1.142) or just a requirement for additional fees to have a claim considered (37 C.F.R. 1.16(d)). Thus, if an application was filed on Jan. 1 and the first Office action on the merits was not mailed until six months later on July 1, the examiner would be required to consider any proper information disclosure statement filed prior to July 1." Notice of April 20, 1992 (1138 O.G. 37-41, 39).

WARNING: "A petition for suspension of action to allow applicant time to submit an information disclosure statement will be denied as failing to present good and sufficient reasons, since 37 C.F.R. 1.97 provides adequate recourse for the timely submission of prior art for consideration by the examiner." Notice of July 6, 1992 (1141 O.G. 63).

If a fee is required, please charge deposit account 12-0425.

SIGNATURE OF PRACTITIONER

JULIAN H. COHEN

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P.O. Address

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**PATENT** 

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: ZEEV SCHMILOVITCH, et al.

Serial No.: 10/811,534

Group No. --

Filed: March 29, 2004

Examiner: --

For:

SPECTROSCOPIC FLUID ANALYZER

Attorney Docket No.: U 014711-1

Commissioner for Patents

P. O. Box 1450

Alexandria, VA 22313-1450

#### INFORMATION DISCLOSURE STATEMENT

We draw the attention of the Examiner to the references listed on the attached Form PTO-1449. Copies of the references are not required, except for RU 2077198 and the English Abstract thereof, because they were already filed in a U.S. application from which an earlier filing date is claimed in this application.

submitted.

ulian H. Cohen c/o Ladas & Parry 26 West 61st Street

New York, New York 10023 Reg. No.: 20302 (212) 708-1887

CERTIFICATION UNDER 37 C.F.R. 1.8(a) and 1.10\*
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"Since the filing of correspondence under § 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will not be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

(Information Disclosure Statement Short Form—page 1 of 1) 6-12

FORM PTO-1449	ATTY DOCKET NO. U 014711-1	SERIAL NUMBER
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANTS' INFORMATION STATEMENT	APPLICANT Gil KATZ, et al.	
O' 2004	FILING DATE	GROUP ART UNIT
MR 23 MIN CE	March 29, 2004	. 2877

### U.S. PATENT DOCUMENTS

Examiner's Initials		DOCUMENT NO.	DATE	NAME	CLASS	SUB	FILING DATE
	AA	5,581,086	Dec. 1996	Ben-menachem	250	343	
	AB	5,116,119	May 1992	Brayer	356	28	
	AC	5,816,190	Oct. 1998	Van derLely	119	14.08	
-	AD	5,743,209	Apr. 1998	Bazin, et al.	119	14.08	,
	AE			·			

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB	TRANS- LATION
	AF	WO 98/02720	Jan.1998	WO			Yes
	AG	WO 98/43070	Oct.1998	WO			Yes
	AH	RU 2077198	Apr.1997	RU			No

# OTHER ART (Including Author, Bills, Pertinent Pages, Etc.)

AI	http://www.foodsci.uoguelph.ca/dairyedu/chem.html, October 24, 2001.
AJ	Manabu Kitazawa, et al., "Ultraviolet generation at 266 nm in a novel organic nonlinear optical crystal: L-pyrrolidone-2-carboxylic acid", Appl. Phys. Lett. 64(19), May 9, 1994.
AK	Jun Kawamata, et al., "Salient nonlinear optical properties of novel organic crystals comprising πconjugated ketones", Appl. Phys. Lett. 66(23), June 5, 1995.
AL	Kazuhisa Yamamoto, et al., "High power blue light generation by frequency doubling of a laser diode in a periodically domain-inverted LiTaO3 waveguide", Appl. Phys. Lett. 62(21), May 24, 1993.
AM	Kiminori Mizuuchi, et al., "Second-harmonic generation of blue light in a LiTaO3 waveguide", Appl. Phys. Lett. 58(24), June 17, 1991.
EXAMINER:	DATE CONSIDERED:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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	AC							
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	-3-	DOCUMENT NO.	DATI	3	COUNTRY	CLASS	SUB	TRANS- LATION
	AD	EP 728413	Aug.19	996	EP			Yes
	AE	EP 595409	May 19	994	EP			Yes
			OTHER A	ART (	(Including Author, Bills,	Pertinent Pa	ages, Etc.)	I
	AF	R.W. Verhoef, et Phys. 106(22), Jun	al., "Rep ne 8, 199	ulsiv 7.	re interactions of pota	assium or	Re(001)",	J. Chem.
	AG	E.D. Mishina, et a films during polar	ıl., "Loca rization re	l pro	bing of the polarizat sal", Appl. Phys. Lett	ion state   78(6). F	in thin Pb(Z	ZrTi)O3
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	AI	surface-adsorbed tryptophan residues", J. Chem. Phys. 103(8), August 22, 1995.  R. Tsenkova, et al., "Near Infra-Red Spectroscopy for Dairy Management: Measurement of Unhomogenized Milk Composition", Published in Journal of Dairy Science, Vol. 82, pp. 2344-2351.  Z. Schmilovitch, et al., "Fresh raw Milk Analysis by NIR Spectroscopy", Published in Proceedings of the International Symposium on the Prospects for Automatic Milking, Wageningen, Netherlands, EAAP Publication No. 65, pp. 193-198, 1992.						
	AJ							
	AK	Z. Schmilovitch, e Composition Mea CIGR World Con	surement	t", Pı	ost Near Infra-red Se ublished in the Proce Sukuba, Japan,	ensor for ( edings of	On-Line Mi the XIV M	lk emorial
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